

Fig. 1

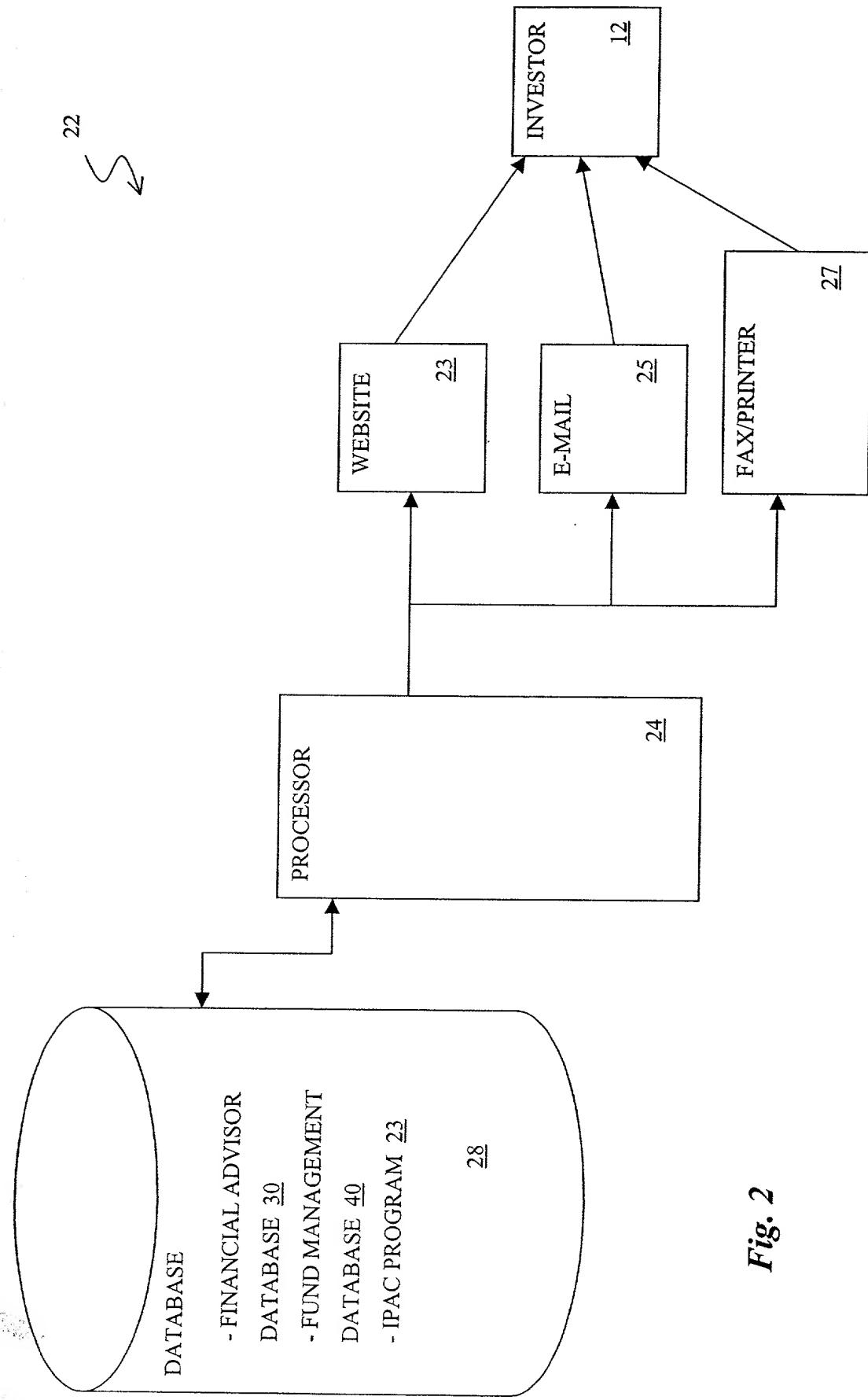


Fig. 2

NAME	INVESTOR INFORMATION	INVESTOR GOALS & RISK PROFILE	CAPITAL STATUS	INITIAL INVESTMENT VEHICLE GROSS INVESTMENTS	INITIAL INVESTMENT VEHICLE BY PERCENTAGE	UPDATED INVESTMENT VEHICLE GROSS INVESTMENTS	UPDATED INVESTMENT VEHICLE BY PERCENTAGES
JOHN SMITH	ADDRESS TELEPHONE NUMBER AGE FAMILY STATUS OCCUPATION	LONG-TERM, HIGH-RETURN INVESTMENTS; HIGH-RISK PROFILE	CALLED; COMMITTED; DISTRIBUTED	VEHICLE A: \$1M VEHICLE B: \$1M SECURITIES: \$1M FIXED INCOME: \$2M	VEHICLE A: 20% VEHICLE B: 20% SECURITIES: 20% FIXED INCOME: 50%	VEHICLE A: \$0M VEHICLE B: \$1M SECURITIES: \$1M FIXED INCOME: \$2M	VEHICLE A: 0% VEHICLE B: 25% SECURITIES: 25% FIXED INCOME: 50%
BOB KLINE	ADDRESS TELEPHONE NUMBER AGE FAMILY STATUS OCCUPATION	SHORT-TERM, LOW-RETURN INVESTMENTS FOR RETIREMENT; LOW-RISK PROFILE	CALLED; COMMITTED; DISTRIBUTED	VEHICLE A: \$250K VEHICLE B: 0 SECURITIES: \$1.750M FIXED INCOME: \$3M	VEHICLE A: 5% VEHICLE B: 0 SECURITIES: 35% FIXED INCOME: 60%	VEHICLE A: \$125K VEHICLE B: 0 SECURITIES: \$1.875M FIXED INCOME: \$3M	VEHICLE A: 2.5% VEHICLE B: 0 SECURITIES: 37.55% FIXED INCOME: 60%

32
34

30A
30B
30C
30D
30E
30F
30G
30H

Fig. 3

FUND MANAGEMENT DATABASE 40

FUND IDENTIFIER	FUND INFORMATION	FUND FINANCIAL INFORMATION	RISK ASSESSMENT	FUND PAYOUT EVENTS
42 FUND A	NAME OF COMPANIES BUSINESS OF COMPANIES OFFICERS OF COMPANIES FINANCIAL INFORMATION RE. COMPANIES	FAIR MARKET VALUE INVESTED FUNDS COMMITTED FUNDS	INVESTMENT ANALYST RISK ASSESSMENT: HIGH	COMPANY EVENT COMPANY PAYOUT
44 FUND B	NAME OF COMPANY BUSINESS OF COMPANY OFFICERS OF COMPANY FINANCIAL INFORMATION RE. COMPANY	FAIR MARKET VALUE INVESTED FUNDS COMMITTED FUNDS	INVESTMENT ANALYST RISK ASSESSMENT: HIGH	COMPANY EVENT COMPANY PAYOUT

Fig. 4

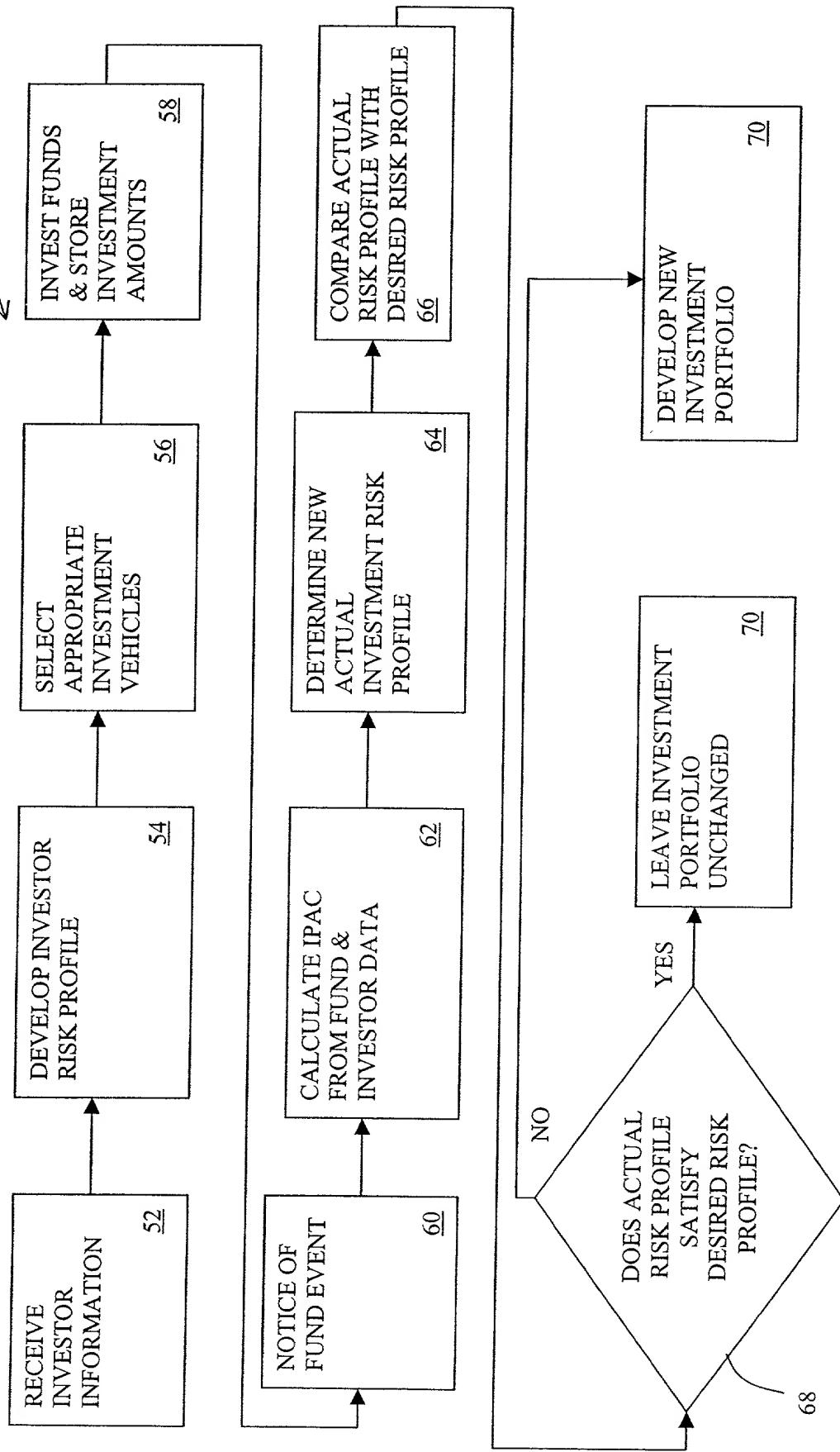


Fig. 5